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JUL 17 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2900  
Patent Center, Box PCT  
Patent Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/018,398	Udo Gross	740-X01-003

INTERNATIONAL APPLICATION NO.
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PCT/EP00/03817

I.A. FILING DATE	PRIORITY DATE
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04/11/2000

06/12/1999

27

Martin Fleit  
Fleit Kain Gibbons Gutman & Bongini  
520 Brickell Key Drive  
Miami, FL 33131

CONFIRMATION NO. 7873

371 ACCEPTANCE LETTER



\*OC000000007802623\*

Date Mailed: 04/18/2002

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

03/22/2002  
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
(c)(4) REQUIREMENTS

03/22/2002  
DATE OF RECEIPT OF ALL 35 U.S.C.  
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Assignee Statement
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements
- Oath or Declaration

- Preliminary Amendments
- Request for Immediate Examination
- Substitute Specification

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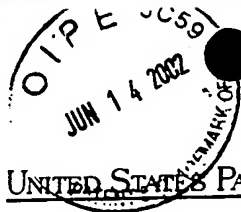
Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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CHARITTA A BURT  
Telephone: (703) 305-3734

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)



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TECH-CENTER 16002900

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/018,398	03/22/2002	1615	1020	740-X01-003		8	1

CONFIRMATION NO. 7873

**FILING RECEIPT**



\*OC000000007802622\*

Martin Fleit  
Fleit Kain Gibbons Gutman & Bongini  
520 Brickell Key Drive  
Miami, FL 33131

Date Mailed: 04/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Udo Gross, Berlin, GERMANY;  
Dirk-Henning Menz, Diedorf, GERMANY;  
Erhard Kemnitz, Berlin, GERMANY;  
Hans Hoerauf, Sierksdorf, GERMANY;  
Karin Kobuch, Pentling, GERMANY;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A 371 OF PCT/EP00/03817 04/27/2000

**Foreign Applications**

GERMANY 199 26 890.8 06/12/1999

**Projected Publication Date:** Not Applicable, filed prior to November 29,2000

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Utilization of a highly fluorinated oligomeric alkane in ophthalmology

**Preliminary Class**

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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FKGGB DOCKET No. 740-X01003

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DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled

UTILIZATION OF A HIGHLY FLUORINATED OLIGOMERIC ALKAN IN  
OPHTHALMOLOGY

the specification of which: (check one)

\_\_\_\_\_ is attached hereto.

X  was filed  
as International Application No. PCT/EP00/03817  
and was amended on August 14, 2001 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information material to the patentability of this application in accordance with 37 CFR 1.56.

I hereby claim the benefit of foreign priority under 35 USC 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application the priority of which is claimed:

Prior Foreign Application(s):			Priority Claimed	
Number	Country	Filing Date	Yes	No
199 26 890.8	DE	June 12, 1999	XXX	

I hereby claim the benefit of United States priority under 35 USC 120 of any United States application(s) or 365(c) of any PCT international applications designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is disclosed in a listed one of the prior United States or PCT international application in the manner provided by the first paragraph of 35 USC 112, I

acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:  
 U.S. Parent Application or PCT Parent (Filing Date) Parent Patent Number

Number  
 PCT/EP00/03817

April 27, 2000

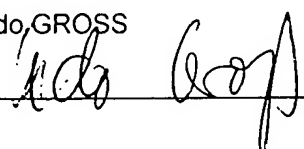
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Martin Fleit	Reg. No. 16,900
Paul D. Bianco	Reg. No. 43,500
Robert C. Kain	Reg. No. 30,648
Jon A. Gibbons	Reg. No. 37,333
Stephen C. Bongini	Reg. No. 40,917
Jose Gutman	Reg. No. 35,171

Send correspondence to MARTIN FLEIT, Fleit, Kain, Gibbons, Gutman & Bongini, P.L., 520 Brickell Key Drive, Miami, Florida 33131, and direct all telephone calls to MARTIN FLEIT at (305) 536-9020.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR: Udo GROSS

INVENTOR'S SIGNATURE: 

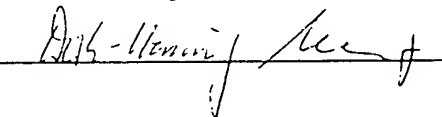
DATE: 10.12.01

RESIDENCE: Germany

CITIZENSHIP: Germany

POST OFFICE ADDRESS: Prignitzstrasse 32, D-12683 Berlin, GERMANY

FULL NAME OF INVENTOR: Dirk-Henning MENZ

INVENTOR'S SIGNATURE: 

DATE: 10<sup>th</sup> Dec 2001

RESIDENCE: Germany

CITIZENSHIP: Germany

POST OFFICE ADDRESS: Wartheweg 12, D-86420 Diedorf, GERMANY

FULL NAME OF INVENTOR: Erhard KEMNITZ

INVENTOR'S SIGNATURE: Erhard Kemnitz DATE: 8<sup>th</sup> Dec. 2001

RESIDENCE: Germany

CITIZENSHIP: Germany

POST OFFICE ADDRESS: Prenzlauer Allee 218, D-10405 Berlin, GERMANY

FULL NAME OF INVENTOR: Hans HOERAUF

INVENTOR'S SIGNATURE: H. Hoerauf DATE: 14<sup>th</sup> Dec. 2001

RESIDENCE: Germany

CITIZENSHIP: Germany

POST OFFICE ADDRESS: Gartenweg 15, D-23730 Sierksdorf, GERMANY

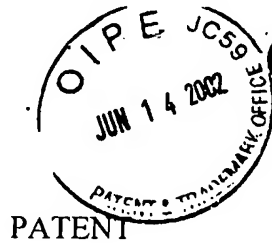
FULL NAME OF INVENTOR: Karin KOBUCH

INVENTOR'S SIGNATURE: Karin Kobuch DATE: 28.12.2001

RESIDENCE: Germany

CITIZENSHIP: Germany

POST OFFICE ADDRESS: Heckenweg 1, D-93080 Pentling, GERMANY



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14 JUN 2002

*Receipt*  
*PCT*

Attorney Docket No. 740-X01-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUN 17 2002  
TECH CENTER 1600/2900

Applicants: Udo GROSS *et al.*

U.S. Patent Application No. 10/018,398

National Stage of PCT No. PCT/EP00/03817

Group Art Unit:

Filed: December 12 2001

Examiner:

For/Title: UTILIZATION OF A HIGHLY FLUORINATED OLIGOMERIC ALKANE  
IN OPHTHALMOLOGY

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Box OIPE  
Assistant Commissioner for Patents  
Washington, D. C. 20231

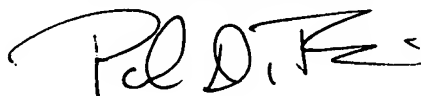
Sir:

Please correct the filing receipt as indicated on the marked-up copy of the filing receipt enclosed herewith. Specifically, please change the domestic priority date from "04/17/2000" to "04/27/2000". A copy of the as-executed declaration showing that the filing date of parent PCT Application No. PCT/EP00/03817 was properly claimed as April 27, 2000. Applicants also note that in all documents filed, the international filing date has been consistently stated as April 27, 2000, but the I.A. Filing Date is incorrectly noted as April 17, 2000.



As these errors result from the Patent Office, no fee is believed due for this Request. However, please charge the required fee (or credit any overpayments of fees) to the Deposit Account of the undersigned, Account No. 500601 (Docket no. 740-X01-003).

Respectfully submitted,



Martin Fleit, Reg. #16,900  
By: Paul D. Bianco, Reg. # 43,500

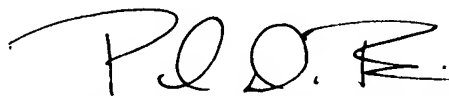
Enclosures

Martin Fleit  
FLEIT KAIN GIBBONS GUTMAN & BONGINI  
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Miami, Florida 33131  
Tel: 305-536-9020; Fax: 305-536-9022  
e-mail: MFleit@FleitKain.com

CERTIFICATE OF MAILING

Date of Deposit May 13, 2002

I hereby certify that the attached paper(s) or fee(s) is/are being deposited with the United States Postal Service First Class Mail on the date indicated above and is addressed to the Commissioner of Patents & Trademark, Washington D.C. 20231.



(Signature of person mailing paper or fee)

PAUL D. BIANCO  
(Typed or printed name of person mailing paper or fee)